A Three-component Reaction of Phenol, Aldehyde, and Active Methylene Substrate under Lewis acid Catalysis: Successful Trapping of *o*-Quinone Methide to Afford Benzopyran Systems

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A three-component condensation involving reactive phenols, aldehydes, and active methylene substrates is described under $BF_3.Et_2O$ catalysis to afford benzopyranyl products in satisfactory yields.

During last two decades, multi-component reactions (MCR) have proven remarkably successful in generating molecular complexities in a single synthetic operations.¹ In context to MCR, ortho-quinone methides (o-QMs) have also been utilized in many elegant tandem processes.² However, a majority of reactions of o-QMs are restricted to cycloadditions with reactive olefins3,4 while only a limited work has appeared with carbon nucleophiles.⁵ Difficulty in formulating proper reaction conditions compatible with the simultaneous generation of both o -QM and the carbanions seems to hamper the fuller exploitation of o -QMs in organic synthesis.²

Though, o -QMs are believed to be involved in 2:1 condensation of phenols with aldehydes under acid or base catalysis⁶ (Scheme 1), surprisingly to our knowledge the trapping of o -QMs with nucleophilic reagents other than phenols has never been reported under these conditions.

Our goal was to develop a tandem process that would allow in-situ trapping of o-QM with suitable carbon nucleophiles other than phenols to provide a rapid access to novel heterocyclic products. Towards this end, we choosed active methylene substrates as precursors of carbon centered nucleophiles and Lewis acid to promote the generation of o -OM as well as to effect enolisation of active methylene substrates. A test reaction using an equivalent amount of 2-naphthol 1 and benzaldehyde 2 and an excess of ethyl acetoacetate 3 was carried out under $BF_3.Et_2O$ catalysis as depicted in the Scheme 2.

Scheme 2.

We anticipated that the Michael product 4 formed via the trapping of the o -QM by the enol might undergo ring closure by the participation of phenolic OH either at the keto or the ester function to form benzopyran 5 or benzo-fused lactone 6. In the event, the reaction ($0^{\circ}C \rightarrow RT$, 8 h and then under reflux, 4 h) provided a colourless solid (59% yield, mp 118-120 °C) identified on the basis of analysis and spectral data⁷ as benzo-fused pyran 5. It is noteworthy that none of the lactone product 6 was detected presumably due to the preferred attack of the phenolic OH at the softer keto group, being further activated by Lewis acid complexation.^{8,9} The present procedure has been successfully extended to different combinations of phenols, alde-

Table 1. 3 Component condensations between reactive phenols, aldehydes, and active methylene substrates^{7,10}

Sr. No.	Reactants	Product	Yield %	mp $\sqrt{\rm ^oC}$
1	β -naphthol, p -anisaldehyde, Ethyl acetoacetate	COOEt	57	$117 - 19$
$\overline{2}$	β -naphthol, p -anisaldehyde, Acetylacetone	COCH3 MeC \overline{a}	60	156-57
3	β -naphthol, p -cholobenzaldehyde, Ethyl acetoacetate	coo∈t	50	130-32
4	4-methoxyphenol, benzaldehyde, Ethyl acetoacetate	MeO CH ₂ COOEt 10 ¹	48	140-43
5	2,7-dihydroxynaphthalene, p -nitrobenzaldehyde, Acetyl acetone	HC COCH ₃ $\overline{11}$ O _o	30	$232 - 34$
6	2,3-dihydroxynaphthalene, p -nitrobenzaldehyde, Ethyl acetoacetate	OH 12 COOEt O ₂ N	41	$215 - 17$
7	β -naphthol, isobutyraldehyde, Ethyl acetoacetate	COOEt 13	30	oil
8	β -naphthol, salicylaldehyde, Ethyl acetoacetate	Η H ∩ [∕] coo∈t 17	61	$200 - 02$
9	β -naphthol, 2-hydroxynaphthaldehyde, Ethyl acetoacetate	CH ₂ ت COOEt 18	35	180-82

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hydes, and active methylene substrates under $BF_3.Et_2O$ catalysis and the results are cited in the Table 1.¹⁰

Attempts to effect the reaction with Brønstedt acids failed, whereas other Lewis acids examined i.e. $SnCl₄$, $AlCl₃$, and $TiCl₄$ produced complex mixtures; only $ZnCl₂$ worked, though in the test reaction, 5 was obtained in a low yield of 27%. Thus, by using an excess of active methylene substrate and $BF_3.Et_2O$ as the catalyst of choice, we have been successful in effectively trapping the o-QM intermediate to offer a convenient 3-component process towards the synthesis of benzo-fused pyrans.

We have also examined the 3-component reaction of salicylaldehyde with β -naphthol and an excess of ethyl acetoacetate under $BF_3.Et_2O$ catalysis. The reaction provided after SiO_2 column purification a novel bridged bichromans 17¹⁰ as a single diasteriomer in 61% yield (Entry 8). Mechanistically, the formation of 17 is proposed to proceed via the initially formed hydroxy-chromene product 15 in a manner similar to that shown in the Scheme 2. Subsequently, protonation on the enol ether from the side of the smaller benzylic hydrogen generates 16. Finally, attack of phenolic OH on oxonium ion intermediate 16 completes the formation of 17 (Scheme 3). Low value of vicinal coupling constant $(J = 4 \text{ Hz})^{10}$ between the benzylic hydrogen and $-CHCO₂Et$ supports cis-disposition of these protons as shown in the structure 17.

Scheme 3.

Similar reaction was also found to occur with 2-hydroxynaphthaldehyde to provide the bridged bichroman 18 in moderate yield (Entry 9). The structure of 18 rests on spectral data¹⁰ and a final confirmation is secured from its single crystal Xray analysis¹¹ as depicted in the ORTEP plot (Figure 1).

Figure 1. ORTEP plot of 18.

In conclusion, we have reported an efficient 3-component methodology for a rapid construction of benzopyrans from easily available substrates.¹² Unlike the base-catalyzed additions of carbanions on o -QM which afford only open chain products,⁵ the present procedure directly leads to cyclic benzo-fused pyran

products. It is noteworthy that benzopyran structural motif is found in multitude of natural products, 13 many possessing significant biological activities.¹⁴ In addition, the present methodology also offers an easy route towards the synthesis of novel bridged bichroman systems.

References and Notes

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- 7 Typical procedure: To a solution of ethyl acetoacetate (50 mmol, 6.5 g) in dry methylene chloride (50 mL) was added freshly distilled $BF_3.Et_2O$ (1.5 mL) and the reaction mixture cooled in ice-bath at 0–5 °C. To this stirred solution was added during 10 min a solution of 2-naphthol (10 mmol, 1.44 g) and benzaldehyde (10 mmol, 1.06 g) in CH_2Cl_2 (10 mL). The reaction mixture was allowed to stand at room temperture for 8 h and then gently refluxed for 4 h. The reaction was quenched by adding 1 N HCl and the organic layer was separated. The aqueous portion was extracted with $CH₂Cl₂$, and the combined organic extract was washed repeatedly with cold 10% NaOH, water and finally dried over anhyd Na2SO4. Crude solid obtained on solvent removal was chromatographed on $SiO₂$ column (elution 1:1 hexane-chloroform) to afford the product 5 as a colourless solid in $(2.0 g)$ 59% yield.IR (KBr, $v \text{ cm}^{-1}$: 1710, 1603, 1506, 1210, 1170, 1070, 810. ¹HNMR: δ 1.3(3H, t, $J = 7.5$ Hz), 2.27(3H, s), 4.16(2H, q, $J = 7.5$ Hz), 5.5(1H, s), 6.7–7.5(11H, m); Anal calcd for $C_{23}H_{19}O_3$: C, 80.21; H, 5.85%. found: C, 80.36; H, 6.13%.
- An alternate mechanism operating via the initially formed PhCH= $C(COCH₃)CO₂C₂H₅$ was ruled out on the ground that an independently synthesized sample of this molecule [R. Wrigglesworth and R. Ferome, J. Chem. Soc., Perkin Trans. 1, 1980, 2645] failed to give 5 when treated with 2-naphthol under $BF_3.Et_2O$ catalysis.
- According to a referee, regioselective formation of benzopyrans via $2 + 4$ addition of o -QM with the enol of active methylene substrates, followed by dehydration is also possible.
- 10 Elemental analysis and spectral data for products cited in the Table 1 are given in the supplimentary information. In some cases (Entries 1–3), low polar product(s) formed in minor amounts have not been characterised.
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